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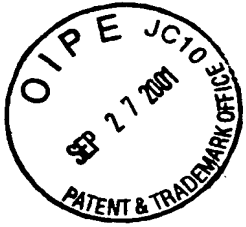
PTO/SB/21 (08-00)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/945,111
	Filing Date	August 31, 2001
	First Named Inventor	Gary R. Klein
	Group Art Unit	N/A
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	10010454-1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. Jody C. Bishop
Signature	
Date	September 18, 2001

Transmittal	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.	
Dated: September 18, 2001	Signature: (Gail Miller)



Docket No.: 10010454-1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gary R. Klein, et al.

Application No.: 09/945,111
Filed: August 31, 2001
Group Art Unit: Not Yet Assigned
Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: September 18, 2001

Signature: Gail Miller
(Gail Miller)

For: **SYSTEM AND METHOD FOR FLEXIBLE
PROCESSING OF MANAGEMENT POLICIES
FOR MANAGING NETWORK ELEMENTS**

FIRST PRELIMINARY AMENDMENT

Box Non-Fee Amendment
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

In the Specification

Please replace paragraph [0001] on page 1 with the following rewritten paragraph:

This application is related to co-pending application entitled "FAULT MANAGEMENT SYSTEM AND METHOD," assigned serial number 09/345,634, filed June 30, 1999; co-pending application entitled "SYSTEM AND METHOD FOR POLICY-BASED NETWORK MANAGEMENT," assigned serial number 09/469,025, filed December 21, 1999; co-pending application entitled "SYSTEM AND METHOD FOR MANAGING A COMMUNICATION NETWORK UTILIZING STATE-BASED POLLING," assigned serial